

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4640873
<b>Application Number:</b>	10562615
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6138
<b>Title of Invention:</b>	Tricyclic derivatives or pharmaceutically acceptable salts thereof, their preparations and pharmaceutical compositions containing them
<b>First Named Inventor/Applicant Name:</b>	Myung-Hwa Kim
<b>Customer Number:</b>	20311
<b>Filer:</b>	Michael Nicholas Mercanti/Elizabeth Lee
<b>Filer Authorized By:</b>	Michael Nicholas Mercanti
<b>Attorney Docket Number:</b>	428.1145
<b>Receipt Date:</b>	20-JAN-2009
<b>Filing Date:</b>	27-JUL-2006
<b>Time Stamp:</b>	16:09:56
<b>Application Type:</b>	U.S. National Stage under 35 USC 371

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$180
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)

1	Information Disclosure Statement Letter	IDS_Statement_428_1145.pdf	53205 c2861ec0baef0d19a67ec1d1d1817b5c 87fb5	no	2
<b>Warnings:</b>					
<b>Information:</b>					
2	Information Disclosure Statement (IDS) Filed (SB/08)	IDS_Form_428_1145.pdf	171989 7803b11773aa407d4bae9c9c1739fbfb415ca 8f3c0a	no	4
<b>Warnings:</b>					
<b>Information:</b>					
This is not an USPTO supplied IDS fillable form					
3	NPL Documents	Scanned_SUN.pdf	541859 0ad31b654db84a139756821fb1ac764688b 9e18f	no	6
<b>Warnings:</b>					
<b>Information:</b>					
4	NPL Documents	Scanned_PLOURDE.pdf	5565125 1f030a970b75265a5377-6bae262ff4587 e424	no	10
<b>Warnings:</b>					
<b>Information:</b>					
5	NPL Documents	Scanned_ISR.pdf	709187 6..fb7cadac1f-65c2a2-d8c1+@62:198 1607	no	2
<b>Warnings:</b>					
<b>Information:</b>					
6	Foreign Reference	WO_02100824.pdf	2712272 6816a4573bd5ce5e8ed9911c12129a10fc 1005	no	75
<b>Warnings:</b>					
<b>Information:</b>					
7	Foreign Reference	WO_97001570.pdf	485727 6eb17b50b0205c229386793839804b67a 09113	no	14
<b>Warnings:</b>					
<b>Information:</b>					
8	Fee Worksheet (PTO-06)	fee-info.pdf	30057 ad115b3ffdf899475d0cb3411483818390 cf7d	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>				10269421	

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.